Name of Company:	Employer ID No:	NFA ID No:
NANHUA USA LLC	46-3646807	0466494

CFTC FORM 1-FR-FCM

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES AS OF 6/30/2023

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

Securities (at market) Securities (at mark	1.	Net ledger balance		
Second S		A. Cash		5000
A. Market value of open option contracts purchased on a contract market 17,701,047 5330 5340		B. Securities (at market)	0	5010
A. Market value of open option contracts purchased on a contract market 17,701,047 5000 B. Market value of open option contracts granted (sold) on a contract market 7,693,834 5000 4. Net equity (deficil) (add lines 1, 2, and 3) \$ 286,663,342 5000 5. Accounts liquidating to a deficit and accounts with debit bialances - gross amount fiset by customer owned securities \$ 0 5000 <t< td=""><td>2.</td><td>Net unrealized profit (loss) in open futures contracts traded on a contract market</td><td>55,773,112</td><td>5020</td></t<>	2.	Net unrealized profit (loss) in open futures contracts traded on a contract market	55,773,112	5020
B. Market value of open option contracts granted (sold) on a contract market 4. Net equity (deficit) (add lines 1, 2, and 3) 5. Accounts liquidating to a deficit and accounts with debt balances - gross amount Less: amount offset by customer owned securities 6. Amount required by customer owned securities 7. Deposited in segregated (add lines 4 and 5) 8. \$286,663,342 [5990] FUNDS IN SEGREGATED ACCOUNTS 7. Deposited in segregated funds bank accounts A. Cash 8. \$772.484 [5100] 8. Securities representing investments of customers' funds (at market) C. Securities held for particular customers or option customers in lieu of cash (at market) 8. Margins on deposit with derivatives clearing organizations of contract markets A. Cash 8. Securities representing investments of customers' funds (at market) C. Securities held for particular customers or option customers in lieu of cash (at market) 8. Securities representing investments or option customers and lieu of cash (at market) 9. Net settlement from (to) derivatives clearing organizations of contract markets 12.075,351 [5160] 10. Exchange traded options A. Value of open long option contracts A. Net liquidating equity B. Securities representing investments of customers in lieu of cash (at market) 11. Net equities with other FCMs A. Net liquidating equity C. Securities held for particular customers or option customers in lieu of cash (at market) 12. Segregated funds on hand (describe:) 13. Total amount in segregation (add lines 7 through 12) 14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 15. Management Target Amount Excess funds in segregation 16. Management Target Amount Excess funds in segregation	3.	Exchange traded options		
A. Net equity (deficit) (add lines 1, 2, and 3) \$286,663,342 \$505		A. Market value of open option contracts purchased on a contract market	17,701,047	5030
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount Less: amount offset by customer owned securities 0 508000 50800 5		B. Market value of open option contracts granted (sold) on a contract market	(7,693,834)	5040
debit balances - gross amount Less: amount offset by customer owned securities 6. Amount required to be segregated (add lines 4 and 5) FUNDS IN SEGREGATED ACCOUNTS 7. Deposited in segregated funds bank accounts A. Cash B. Securities representing investments of customers' funds (at market) C. Securities representing investments of customers in lieu of cash (at market) B. Securities representing investments of customers in lieu of cash (at market) C. Securities representing investments of customers in lieu of cash (at market) C. Securities representing investments of customers in lieu of cash (at market) C. Securities representing investments of customers in lieu of cash (at market) C. Securities representing investments of customers in lieu of cash (at market) C. Securities representing investments of customers' funds (at market) C. Securities representing investments of customers in lieu of cash (at market) C. Securities representing investments of customers in lieu of cash (at market) C. Securities representing investments of customers in lieu of cash (at market) C. Securities representing investments of customers in lieu of cash (at market) C. Securities representing investments A. Value of open long option contracts A. Value of open long option contracts A. Value of open short option contracts A. Value of open short option contracts A. Net liquidating equity B. Securities representing investments of customers' funds (at market) C. Securities representing investments of customers' funds (at market) C. Securities representing investments of customers in lieu of cash (at market) C. Securities representing investments of customers in lieu of cash (at market) C. Securities representing investments of customers in lieu of cash (at market) C. Securities representing investments of customers in lieu of cash (at market) C. Securities representing investments of customers in lieu of cash (at market) C. Securities representing investments of customers in lieu of cash (at market) C. Securities representing investmen	4.	Net equity (deficit) (add lines 1, 2, and 3)	\$ 286,663,342	5050
Less: amount offset by customer owned securities 0 5070 0 5080	5.	Accounts liquidating to a deficit and accounts with		
Section Sect				
PUNDS IN SEGREGATED ACCOUNTS		Less: amount offset by customer owned securities	0	5080
7. Deposited in segregated funds bank accounts \$ 772,484 5100 A. Cash \$ 0.0 5110 B. Securities representing investments of customers' funds (at market) 0.0 5120 8. Margins on deposit with derivatives clearing organizations of contract markets 262,826,301 5130 A. Cash 26,830,025 5140 B. Securities representing investments of customers' funds (at market) 29,630,025 5140 C. Securities held for particular customers or option customers in lieu of cash (at market) 0 5150 9. Net settlement from (to) derivatives clearing organizations of contract markets 12,075,351 5160 10. Exchange traded options 17,701,047 5170 A. Value of open long option contracts 17,701,047 5170 B. Value of open short option contracts 17,701,047 5170 11. Net equities with other FCMs 7,693,834 5180 A. Net liquidating equity 0 5900 B. Securities representing investments of customers' funds (at market) 0 520 12. Segregated funds on hand (describe:) 0 5210 12. Segregated funds on h	6.	Amount required to be segregated (add lines 4 and 5)	\$ 286,663,342	5090
7. Deposited in segregated funds bank accounts \$ 772,484 5100 A. Cash \$ 0.0 5110 B. Securities representing investments of customers' funds (at market) 0.0 5120 8. Margins on deposit with derivatives clearing organizations of contract markets 262,826,301 5130 A. Cash 26,830,025 5140 B. Securities representing investments of customers' funds (at market) 29,630,025 5140 C. Securities held for particular customers or option customers in lieu of cash (at market) 0 5150 9. Net settlement from (to) derivatives clearing organizations of contract markets 12,075,351 5160 10. Exchange traded options 17,701,047 5170 A. Value of open long option contracts 17,701,047 5170 B. Value of open short option contracts 17,701,047 5170 11. Net equities with other FCMs 7,693,834 5180 A. Net liquidating equity 0 5900 B. Securities representing investments of customers' funds (at market) 0 520 12. Segregated funds on hand (describe:) 0 5210 12. Segregated funds on h				
A. Cash \$772,484 5100 B. Securities representing investments of customers' funds (at market) 0 5110 C. Securities held for particular customers or option customers in lieu of cash (at market) 0 5120 8. Margins on deposit with derivatives clearing organizations of contract markets 262,826,301 5130 B. Securities representing investments of customers' funds (at market) 29,630,025 5140 C. Securities held for particular customers or option customers in lieu of cash (at market) 10 5150 9. Net settlement from (to) derivatives clearing organizations of contract markets 12,075,351 5160 10. Exchange traded options 17,701,047 5170 A. Value of open long option contracts 17,701,047 5170 B. Value of open short option contracts 17,701,047 5170 A. Net liquidating equity 0 520 B. Securities representing investments of customers' funds (at market) 0 500 C. Securities held for particular customers or option customers in lieu of cash (at market) 0 500 Excess (deficiency) funds in segregation (add lines 7 through 12) \$315,311,374 5220 13. Total amo	FUN	IDS IN SEGREGATED ACCOUNTS		
B. Securities representing investments of customers' funds (at market) 0 5110 C. Securities held for particular customers or option customers in lieu of cash (at market) 262,826,301 5130 8. Margins on deposit with derivatives clearing organizations of contract markets 262,826,301 5130 B. Securities representing investments of customers' funds (at market) 29,630,025 5140 C. Securities held for particular customers or option customers in lieu of cash (at market) 0 5150 9. Net settlement from (to) derivatives clearing organizations of contract markets 12,075,351 5160 10. Exchange traded options 17,701,047 5170 B. Value of open long option contracts 17,701,047 5170 B. Value of open short option contracts 17,701,047 5190 11. Net equities with other FCMs 0 5190 A. Net liquidating equity 0 5200 B. Securities representing investments of customers' funds (at market) 0 5200 C. Securities held for particular customers or option customers in lieu of cash (at market) 0 5200 C. Securities held for particular customers or option customers in lieu of cash (at market) 0 5210 12. Segregated funds on hand (describe:) 3 35,301,301	7.	Deposited in segregated funds bank accounts		
C. Securities held for particular customers or option customers in lieu of cash (at market) 0 5120 8. Margins on deposit with derivatives clearing organizations of contract markets 262,826,301 5130 A. Cash 29,630,025 5140 B. Securities representing investments of customers' funds (at market) 29,630,025 5140 C. Securities held for particular customers or option customers in lieu of cash (at market) 10 5150 9. Net settlement from (to) derivatives clearing organizations of contract markets 12,075,351 5160 10. Exchange traded options 17,701,047 5170 A. Value of open long option contracts 17,701,047 5170 B. Value of open short option contracts (7,693,834) 5180 11. Net equities with other FCMs 5190 A. Net liquidating equity 5190 B. Securities representing investments of customers' funds (at market) 5200 C. Securities held for particular customers or option customers in lieu of cash (at market) 5210 12. Segregated funds on hand (describe:) 315,311,374 5220 13. Total amount in segregation (add lines 7 through 12) \$315,311,374 5220 14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) \$3,350,000 5240		A. Cash	\$ 772,484	5100
8. Margins on deposit with derivatives clearing organizations of contract markets 262,826,301 5130 A. Cash 29,630,025 5140 B. Securities representing investments of customers' funds (at market) 29,630,025 5140 C. Securities held for particular customers or option customers in lieu of cash (at market) 12,075,351 5160 9. Net settlement from (to) derivatives clearing organizations of contract markets 12,075,351 5160 10. Exchange traded options 17,701,047 5170 B. Value of open long option contracts 17,701,047 5170 B. Value of open short option contracts (7,693,834) 5180 11. Net equities with other FCMs 5190 A. Net liquidating equity 0 5190 B. Securities representing investments of customers' funds (at market) 0 5200 C. Securities held for particular customers or option customers in lieu of cash (at market) 0 5210 12. Segregated funds on hand (describe:) 0 5215 13. Total amount in segregation (add lines 7 through 12) \$ 315,311,374 5220 14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) \$ 28,648,032 5230 15. Management Target Amount E		B. Securities representing investments of customers' funds (at market)		5110
A. Cash 262,826,301 5130 B. Securities representing investments of customers' funds (at market) 29,630,025 5140 C. Securities held for particular customers or option customers in lieu of cash (at market) 10.0 5150 9. Net settlement from (to) derivatives clearing organizations of contract markets 12,075,351 5160 10. Exchange traded options 17,701,047 5170 A. Value of open long option contracts 17,701,047 5170 B. Value of open short option contracts 17,701,047 5170 11. Net equities with other FCMs 5190 5190 A. Net liquidating equity 0 5190 B. Securities representing investments of customers' funds (at market) 0 5200 C. Securities held for particular customers or option customers in lieu of cash (at market) 0 5210 12. Segregated funds on hand (describe:) 315,311,374 5220 13. Total amount in segregation (add lines 7 through 12) \$315,311,374 5220 14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) \$28,648,032 5230 15. Management Target Amount Excess funds in segregation \$3,500,000		C. Securities held for particular customers or option customers in lieu of cash (at market)	0	5120
B. Securities representing investments of customers' funds (at market) 29,630,025 5140 C. Securities held for particular customers or option customers in lieu of cash (at market) 10.0 5150 9. Net settlement from (to) derivatives clearing organizations of contract markets 12,075,351 5160 10. Exchange traded options 17,701,047 5170 A. Value of open long option contracts 17,701,047 5170 B. Value of open short option contracts 17,693,834 5180 11. Net equities with other FCMs 0 5190 A. Net liquidating equity 0 5190 B. Securities representing investments of customers' funds (at market) 0 5210 12. Segregated funds on hand (describe:) 0 5215 13. Total amount in segregation (add lines 7 through 12) \$315,311,374 5220 14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) \$28,648,032 5230 15. Management Target Amount Excess funds in segregation \$3,500,000 5240	8.	Margins on deposit with derivatives clearing organizations of contract markets		
C. Securities held for particular customers or option customers in lieu of cash (at market) 0 5150 9. Net settlement from (to) derivatives clearing organizations of contract markets 12,075,351 5160 10. Exchange traded options		A. Cash	262,826,301	5130
9. Net settlement from (to) derivatives clearing organizations of contract markets 12,075,351 5160 10. Exchange traded options A. Value of open long option contracts B. Value of open short option contracts 17,701,047 5170 (7,693,834) 5180 11. Net equities with other FCMs A. Net liquidating equity B. Securities representing investments of customers' funds (at market) C. Securities held for particular customers or option customers in lieu of cash (at market) 12. Segregated funds on hand (describe:) 13. Total amount in segregation (add lines 7 through 12) 14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 15. Management Target Amount Excess funds in segregation 16. Securities held for particular customers or option customers in lieu of cash (at market) 18. Segregated funds on hand (describe:) 19. Segregated funds on hand (describe:) 10. S215 11. Total amount in segregation (add lines 7 through 12) 12. Segregated funds on hand (describe:) 13. Total amount in segregation (subtract line 6 from line 13) 14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 15. Management Target Amount Excess funds in segregation		B. Securities representing investments of customers' funds (at market)	29,630,025	5140
10. Exchange traded options 17,701,047 5170 A. Value of open long option contracts 17,701,047 5170 B. Value of open short option contracts (7,693,834) 5180 11. Net equities with other FCMs 0 5190 B. Securities representing investments of customers' funds (at market) 0 5200 C. Securities held for particular customers or option customers in lieu of cash (at market) 0 5210 12. Segregated funds on hand (describe:) 0 5215 13. Total amount in segregation (add lines 7 through 12) \$315,311,374 5220 14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) \$28,648,032 5230 15. Management Target Amount Excess funds in segregation \$3,500,000 5240		C. Securities held for particular customers or option customers in lieu of cash (at market)	0	5150
A. Value of open long option contracts B. Value of open short option contracts CT,693,834) 5180 11. Net equities with other FCMs A. Net liquidating equity B. Securities representing investments of customers' funds (at market) C. Securities held for particular customers or option customers in lieu of cash (at market) C. Segregated funds on hand (describe: C. Segregat	9.	Net settlement from (to) derivatives clearing organizations of contract markets	12,075,351	5160
B. Value of open short option contracts (7,693,834) 5180 11. Net equities with other FCMs A. Net liquidating equity B. Securities representing investments of customers' funds (at market) C. Securities held for particular customers or option customers in lieu of cash (at market) 12. Segregated funds on hand (describe:) 13. Total amount in segregation (add lines 7 through 12) 14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 15. Management Target Amount Excess funds in segregation	10.	Exchange traded options		
11. Net equities with other FCMs A. Net liquidating equity B. Securities representing investments of customers' funds (at market) C. Securities held for particular customers or option customers in lieu of cash (at market) 12. Segregated funds on hand (describe:) 13. Total amount in segregation (add lines 7 through 12) 14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 15. Management Target Amount Excess funds in segregation 16. Securities with other FCMs 17. Segregated (add lines of customers) funds (at market) 18. Securities representing investments of customers' funds (at market) 19. Segregated funds on hand (describe:) 10. Segregated funds on hand (describe:) 11. Segregated funds on hand (describe:) 12. Segregated funds on hand (describe:) 13. Total amount in segregation (add lines 7 through 12) 14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 15. Management Target Amount Excess funds in segregation		A. Value of open long option contracts		5170
A. Net liquidating equity B. Securities representing investments of customers' funds (at market) C. Securities held for particular customers or option customers in lieu of cash (at market) 12. Segregated funds on hand (describe: 13. Total amount in segregation (add lines 7 through 12) 14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 15. Management Target Amount Excess funds in segregation 16. Securities representing investments of customers' funds (at market) 16. Securities representing investments of customers' funds (at market) 17. Segregated funds on hand (describe: 18. Segregated funds on hand (describe: 19.		B. Value of open short option contracts	(7,693,834)	5180
B. Securities representing investments of customers' funds (at market) C. Securities held for particular customers or option customers in lieu of cash (at market) 12. Segregated funds on hand (describe:) 13. Total amount in segregation (add lines 7 through 12) 14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 15. Management Target Amount Excess funds in segregation 16. Securities representing investments of customers' funds (at market) 17. Segregated funds on hand (describe:) 18. Segregated funds on hand (describe:) 19. Segregated funds on	11.	Net equities with other FCMs		
C. Securities held for particular customers or option customers in lieu of cash (at market) 12. Segregated funds on hand (describe:) 13. Total amount in segregation (add lines 7 through 12) 14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 15. Management Target Amount Excess funds in segregation 16. Securities held for particular customers or option customers in lieu of cash (at market) 17. Segregated funds on hand (describe:) 18. Segregated funds on hand (describe:) 19. Segregation (add lines 7 through 12) 19. Segregat		A. Net liquidating equity		5190
12. Segregated funds on hand (describe:) 13. Total amount in segregation (add lines 7 through 12) 14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 15. Management Target Amount Excess funds in segregation 16. Segregated funds on hand (describe:) 17. Segregated funds on hand (describe:) 18. Segregated funds on hand (describe:) 19. Segregation (add lines 7 through 12) 19. Segregation (subtract line 6 from line 13)		B. Securities representing investments of customers' funds (at market)		5200
13. Total amount in segregation (add lines 7 through 12) \$315,311,374 5220 14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) \$28,648,032 5230 15. Management Target Amount Excess funds in segregation \$3,500,000 5240		C. Securities held for particular customers or option customers in lieu of cash (at market)	0	5210
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 15. Management Target Amount Excess funds in segregation \$ 3,500,000 5240 5250 5240 5250	12.	Segregated funds on hand (describe:)	0	5215
15. Management Target Amount Excess funds in segregation \$3,500,000 5240	13.	Total amount in segregation (add lines 7 through 12)	\$ 315,311,374	5220
0.07 (10.00)	14.	Excess (deficiency) funds in segregation (subtract line 6 from line 13)	\$ 28,648,032	5230
16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess \$25,148,032 5250	15.	Management Target Amount Excess funds in segregation	\$ 3,500,000	5240
	16.	Excess (deficiency) funds in segregation over (under) Management Target Amount Excess	\$ 25,148,032	5250

Name of Company:	Employer ID No:	NFA ID No:
NANHUA USA LLC	46-3646807	0466494

CFTC FORM 1-FR-FCM STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION FOR CUSTOMERS' DEALER OPTIONS ACCOUNTS AS OF 6/30/2023

1.	Amo	unt required to be segregated in accordance with Commission regulation 32.6		\$ 0	5400
2.	Fund	s in segregated accounts			
	A.	Cash	\$ 0 5410		
	B.	Securities (at market)	0 5420		
	C.	Total		0	5430
3.	Exce	ss (deficiency) funds in segregation (subtract line 1. from line 2.C.)		\$ 0	5440

Name of Company:	Employer ID No:	NFA ID No:	ĺ
NANHUA USA LLC	46-3646807	0466494	

CFTC FORM 1-FR-FCM STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS PURSUANT TO COMMISSION REGULATION 30.7 AS OF 6/30/2023

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or

	regulation of a foreign government or a rule of a self-regulatory		
	organization authorized thereunder	\$ 0	5605
1.	Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers		
	A. Cash	\$ 101,092	5615
	B. Securities (at market)	\$0	5617
2.	Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade	\$ 0	5625
3.	Exchange traded options		
	A. Market value of open option contracts purchased on a foreign board of trade	\$0	5635
	B. Market value of open option contracts granted (sold) on a foreign board of trade	\$0	5637
4.	Net equity (deficit) (add lines 1, 2, and 3)	\$ 101,092	5645
5.	Accounts liquidating to a deficit and accounts with		
	debit balances - gross amount \$0 5651	_	
	Less: amount offset by customer owned securities \$ 0 5652	\$0	5654
6.	Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)	\$ 101,092	5655
7.	Greater of amount required to be set aside to a foreign jurisdiction (above) or line 6.	\$ 101,092	5660

Name of Company:	Employer ID No:	NFA ID No:
NANHUA USA LLC	46-3646807	0466494

CFTC FORM 1-FR-FCM STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS PURSUANT TO COMMISSION REGULATION 30.7 AS OF 6/30/2023

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1.	Cas	h in Banks				
	A.	Banks located in the United States	\$ 457,395	5700		
	B.	Other banks qualified under Regulation 30.7				
		Name(s): <u>See Attached</u> 5710	0	5720	\$ 457,395	5730
2.	Sec	urities				
	A.	In safekeeping with banks located in the United States	\$ 0	5740		
	B.	In safekeeping with other banks qualified under Regulation 30.7				
		Name(s): _ 5750	0	5760	0	5770
3.	Equ	ities with registered futures commission merchants				
	A.	Cash	\$ 0	5780		
	B.	Securities	0	5790		
	C.	Unrealized gain (loss) on open futures contracts	0	5800		
	D.	Value of long option contracts	0	5810		
	E.	Value of short option contracts	0	5815	0	5820
4.	Amo	ounts held by clearing organizations of foreign <u>boards</u> of trade				
	Nan	ne(s): _ 5830				
	A.	Cash	\$ 0	5840		
	В	Securities	0	5850		
	C.	Amount due to (from) clearing organization - daily variation	0	5860		
	D.	Value of long option contracts	0	5870		
	E.	Value of short option contracts	0	5875	0	5880
5.	Amo	ounts held by members of foreign boards of trade				
	Nan	ne(s): _ 5890				
	A.	Cash	\$ 0	5900		
	B.	Securities	0	5910		
	C.	Unrealized gain (loss) on open futures contracts	0	5920		
	D.	Value of long option contracts	0	5930		
	E.	Value of short option contracts	0	5935	0	5940
6.		ounts with other depositories designated by a foreign board of trade			_	
	Nan	ne(s):			0	5960
7.	Seg	regated funds on hand (describe): _			0	5965
8.	Tota	I funds in separate section 30.7 accounts			\$ 457,395	5970
9.	Exce	ess (deficiency) Set Aside Funds for Secured Amount (Subtract line 7 Secured Statement Page	e 1			
	from	n line 8)			\$ 356,303	5680
10.	Man	agement Target Amount for Excess funds in separate 30.7 accounts			100,000	5980
11.	Exce	ess (deficiency) funds in separate 30.7 accounts over (under) Management Target Excess			256,303	5985