WINJAMMER FILING

AMENDMENT End Date:11/23/2023 Firm Name:NANHUA USA LLC Form:Daily Seg - 1-FR Submit Date:11/24/2023

AMENDMENT End Date:11/23/2023 Firm Name:NANHUA USA LLC Form:Daily Seg - 1-FR Submit Date:11/24/2023 Daily Segregation - Cover Page

Name of Company	NANHUA USA LLC [0010]
Contact Name	<u>Yujie Wang</u> [0040]
Contact Phone Number	<u>312-374-4893</u> [0060]
Contact Email Address	yujie.wang@nanhua-usa.com [0065]
FCM's Customer Segregated Funds Residual Interest Target (choose one): a. Minimum dollar amount: ; or b. Minimum percentage of customer segregated funds required:% ; or c. Dollar amount range between:and; or d. Percentage range of customer segregated funds required between:% and%.	3,500,000 [8930] 0 [8940] 0 [8950] 0 [8951] 0 [8960] 0 [8961]
FCM's Customer Secured Amount Funds Residual Interest Target (choose one): a. Minimum dollar amount: ; or b. Minimum percentage of customer secured funds required:% ; or c. Dollar amount range between:and; or d. Percentage range of customer secured funds required between:% and%.	<u>100,000</u> [8970] <u>0</u> [8980] <u>0</u> [8990] <u>0</u> [8991] <u>0</u> [9000] <u>0</u> [9001]
FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one): a. Minimum dollar amount: ; or b. Minimum percentage of cleared swaps customer collateral required:% ; or c. Dollar amount range between:and; or d. Percentage range of cleared swaps customer collateral required between:% and%.	0 [9010] 0 [9020] 0 [9030] 0 [9031] 0 [9040] 0 [9041]

Attach supporting documents CH

AMENDMENT End Date:11/23/2023 Firm Name:NANHUA USA LLC Form:Daily Seg - 1-FR Submit Date:11/24/2023 **Daily Segregation - Secured Amounts** Foreign Futures and Foreign Options Secured Amounts Amount required to be set aside pursuant to law, rule or regulation of a foreign 0 [5605] government or a rule of a self-regulatory organization authorized thereunder 1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers A. Cash 1,092 [5615] B. Securities (at market) **0** [5617] 2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade <u>0</u> [5625] 3. Exchange traded options A. Market value of open option contracts purchased on a foreign board of trade 0 [5635] B. Market value of open contracts granted (sold) on a foreign board of trade <u>0</u> [5637] 4. Net equity (deficit) (add lines 1. 2. and 3.) 1,092 [5645] 5. Account liquidating to a deficit and account with a debit balances - gross amount 0 [5651] Less: amount offset by customer owned securities <u>0</u> [5652] <u>0</u> [5654] 6 Amount required to be set aside as the secured amount - Net Liquidating Equity 1,092 [5655] Method (add lines 4 and 5) 7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 1,092 [5660] 6. FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS 1. Cash in Banks A. Banks located in the United States 357,395 [5700] B. Other banks qualified under Regulation 30.7 **0** [5720] **357,395** [5730] 2. Securities <u>0</u> [5740] A. In safekeeping with banks located in the United States B. In safekeeping with other banks gualified under Regulation 30.7 <u>0</u> [5760] <u>0</u> [5770] 3. Equities with registered futures commission merchants A. Cash 0 [5780] **B.** Securities <u>0</u> [5790] C. Unrealized gain (loss) on open futures contracts 0 [5800] D. Value of long option contracts **0** [5810] E. Value of short option contracts <u>**0**</u> [5815] <u>**0**</u> [5820] Amounts held by clearing organizations of foreign boards of trade 4. A. Cash <u>0</u> [5840] **B.** Securities <u>0</u> [5850] C. Amount due to (from) clearing organization - daily variation <u>0</u> [5860] D. Value of long option contracts 0 [5870] E. Value of short option contracts <u>**0**</u> [5875] <u>**0**</u> [5880] 5. Amounts held by member of foreign boards of trade A. Cash <u>0</u> [5900] **B.** Securities **0** [5910] C. Unrealized gain (loss) on open futures contracts 0 [5920] D. Value of long option contracts 0 [5930] E. Value of short option contracts <u>**0**</u> [5935] <u>**0**</u> [5940] 6. Amounts with other depositories designated by a foreign board of trade <u>0</u> [5960] 7. Segregated funds on hand <u>0</u> [5965]

8. Total funds in separate section 30.7 accounts

 Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)

10. Management Target Amount for Excess funds in separate section 30.7 accounts

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target

357,395 [5970]

356,303 [5680]

100,000 [5980]

256,303 [5985]

End Date: 11/23/2023 Firm Name: NANHUA USA LLC Form: Daily Seg-1-FR Submit Date: 11/24/2023 Daily Segregation - Segregation Statement SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct) 1. Net ledger balance A. Cash 0 [5010] B. Securities (at market) 0 [5010] C. Schange trade option 1.4.827.162 [5020] X. Market value of open option contracts purchased on a contract market 39.148.613 [5030] B. Market value of open option contracts granted (sold) on a contract market 39.148.67.55 [5050] B. Market value of open option contracts granted (sold) on a contract market 39.148.67.55 [5050] C. Accounts liquidating to a deficit and accounts with 0 [5000] Less: amount offset by customer owned securities 0 [5070] 0 [5080] S. Accounts liquidating to a deficit and accounts 0 [5100] A. Cash 98.725 [5090] FUNDS IN SEGREGATED ACCOUNTS 0 [5110] C. Securities representing investment of customers' funds (at market) 0 [5110] B. Securities representing investment of customers' funds (at market) 24.9853.396 [5140] C. Securities held for particular customers or option customers in lieu of cash (at market) 24.9853.396	AME	INDMENT	
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		Excess	

AMENDMENT End Date:11/23/2023 Firm Name:NANHUA USA LLC Form:Daily Seg - 1-FR Submit Date:11/24/2023 Daily Segregation - Swaps Statement

AND

	FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA	
	Cleared Swaps Customer Requirements	
1.	Net ledger balance	
	A. Cash	<u>0</u> [8500]
	B. Securities (at market)	<u>0</u> [8510]
2.	Net unrealized profit (loss) in open cleared swaps	<u>0</u> [8520]
3.	Cleared swaps options	<u> </u>
	A. Market value of open cleared swaps option contracts purchased	<u>0</u> [8530]
	B. Market value of open cleared swaps option contracts granted (sold)	<u>0</u> [8540]
4.	Net Equity (deficit) (add lines 1, 2, and 3)	<u>0</u> [8550]
5.	Accounts liquidating to a deficit and accounts with	
	debit balances - gross amount	<u>0</u> [8560]
	Less: amount offset by customer owned securities	<u>0</u> [8570] <u>0</u> [8580]
6.	Amount required to be segregated for cleared swaps customers (add lines 4 and 5)	<u>0</u> [8590]
	Funds in Cleared Swaps Customer Segregated Accounts	
7.	Deposited in cleared swaps customer segregated accounts at banks	
	A. Cash	<u>0</u> [8600]
	B. Securities representing investments of cleared swaps customers' funds (at market)	<u>0</u> [8610]
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u>0</u> [8620]
8.	Margins on deposit with derivatives clearing organizations in cleared swaps customer	
	segregated accounts	
	A. Cash	<u>0</u> [8630]
	B. Securities representing investments of cleared swaps customers' funds (at market)	<u>0</u> [8640]
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u>0</u> [8650]
9.	Net settlement from (to) derivatives clearing organizations	<u>0</u> [8660]
10.	Cleared swaps options	
	A. Value of open cleared swaps long option contracts	<u>0</u> [8670]
	B. Value of open cleared swaps short option contracts	<u>0</u> [8680]
11.	Net equities with other FCMs	• [0000]
	A. Net liquidating equity	<u>0</u> [8690]
	B. Securities representing investments of cleared swaps customers' funds (at market)	<u>0</u> [8700]
10	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u>0</u> [8710]
12.	Cleared swaps customer funds on hand	0
	A. Cash B. Securities representing investments of cleared swaps systemate' funds (at market)	<u>0</u>
	B. Securities representing investments of cleared swaps customers' funds (at market)	<u>0</u>
13.	C. Securities held for particular cleared swaps customers in lieu of cash (at market) Total amount in cleared swaps customer segregation (add lines 7 through 12)	<u>0</u> 0 [8715] <u>0</u> [8720]
14.	Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from	<u>o</u> [8730]
ı .	line 13)	<u>v</u> [0730]
15.	Management Target Amount for Excess funds in cleared swaps segregated accounts	<u>0</u> [8760]
16.	Excess (deficiency) funds in cleared swaps customer segregated accounts over (under)	<u>o</u> [8770]
	Management Target Excess	<u>-</u> [- · · ·]

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS