

NANHUA USA LLC – PRIVACY POLICY NOTICE

Nanhua USA LLC (“Nanhua USA”) values the relationship between you as the Client and Nanhua USA as your broker. We appreciate the trust you have placed in us and we understand that as part of providing you with services, you have given us private information about yourself or your entity.

Nanhua USA believes in protecting the privacy of any personal information you provide. This privacy policy addresses data collection, use and disclosure practices. We are committed to safeguarding such information from loss, misuse, unauthorized access, disclosure, and alteration. This policy may change from time to time, and you will receive written notification of any such changes. Any revised Privacy Policy will also be posted on our website. Your continued use of Nanhua USA’s services will constitute your acknowledgment and agreement of the amended Privacy Policy.

Information Collected

We may collect personal information about you or your entity from the following sources:

- Information from communications or transactions we or our affiliates have with you;
- Information we receive from you including, applications or other forms;
- Information from third parties who provide consumer information or credit reporting information;
- Information about you that we obtain using data analytics software.
- Information collected through our website.

To assist the U.S. government fight funding of terrorism and money laundering activities, Federal law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account. You are responsible for submitting and maintaining accurate information, including updating your personal information as it may change from time to time.

Use and Disclosure of Your Information

Nanhua USA collects and uses such personal information for purposes including but not limited to: contacting you as necessary, verifying your identity or credit records, building and maintaining customer relationships, completing transactions contemplated in the Account Agreement, contacting you in response to inquiries and issues or as necessary, assisting third parties in carrying out the business of Nanhua USA, compliance with law or regulation as required, activities involving all or a portion of Nanhua USA’s business, contemplation of business combination, acquisition, sale or merger, or such other business purpose. This information includes but is not limited to your name, address, approximate age, investment experience or other personally identifiable information. **Nanhua USA does not sell or license customer’s nonpublic personal information with nonaffiliated third parties.**

Nanhua USA safeguards customer information from unauthorized disclosure by its information security policies, confidentiality policies and by limiting employee and third-party access to such information. While Nanhua USA attempts to provide fail-proof security and confidentiality, due to the design of the Internet, we cannot guarantee that communications between you and our servers will be free from unauthorized access by third parties. Privacy and security are fundamental principles governing Nanhua USA’s client relationships.